

# TWO-TIER NEWBORN SCREENING FOR CYSTIC FIBROSIS

## A Practical Perspective

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Supported by a grant from the Cystic Fibrosis Foundation

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*In this presentation, we cover:*

- Rationale for newborn screening for cystic fibrosis (CF-NBS)
- New IRT/DNA protocol, and reasons for replacing the IRT/IRT protocol in Virginia
- Interpretation of results, and actions required
- Sweat testing, indeterminate values, and “CRMS”
- Informational resources about CF newborn screening

Objectives

## **Mandatory since 1965**

- Centralized lab for dried blood spot testing
- Division of Consolidated Laboratory Services

## **Expanded NBS (28 conditions) since March 1, 2006**

- Introduction of screening for CF (IRT/IRT)

## **Revised CF-NBS methodology since December 1, 2011**

- Change to IRT/DNA protocol

**Newborn Screening in Virginia**

~ 1 in 3,300	<b>Cystic fibrosis</b> ⇒ <b>most common!</b>
~ 1 in 4,000	Hypothyroidism
~ 1 in 10,000	MCAD deficiency
~ 1 in 15,000	PKU
~ 1 in 60,000	Galactosemia (classical)
~ 1 in 100,000	Maple syrup urine disease
~ 1 in 100,000	Homocystinuria

## Relative Incidence of Inherited Metabolic Disorders

- Earliest clinical presentation is meconium ileus at birth: ~20% of patients
- Excluding those patients with meconium ileus, the average age to diagnosis of CF-based on symptoms was **14 months**

Side note:

Newborns with meconium ileus occasionally have false negative NBS by IRT (low IRT).

**Always test for CF  
in babies with  
meconium ileus!**

Diagnosis of CF Prior to the  
Introduction of NBS

- Prevent early malnutrition and vitamin deficiency  
*(often present by 2 months of age)*
- Reduce early pulmonary complications  
*(atelectasis, pneumonia, establishment of airway infection)*
- Improve long-term outcomes  
*including growth, cognitive function, survival*
- Prevent prolonged “diagnostic odyssey”
- Ability to offer genetic counseling to families  
*regarding future risk*

Why Should We Screen?

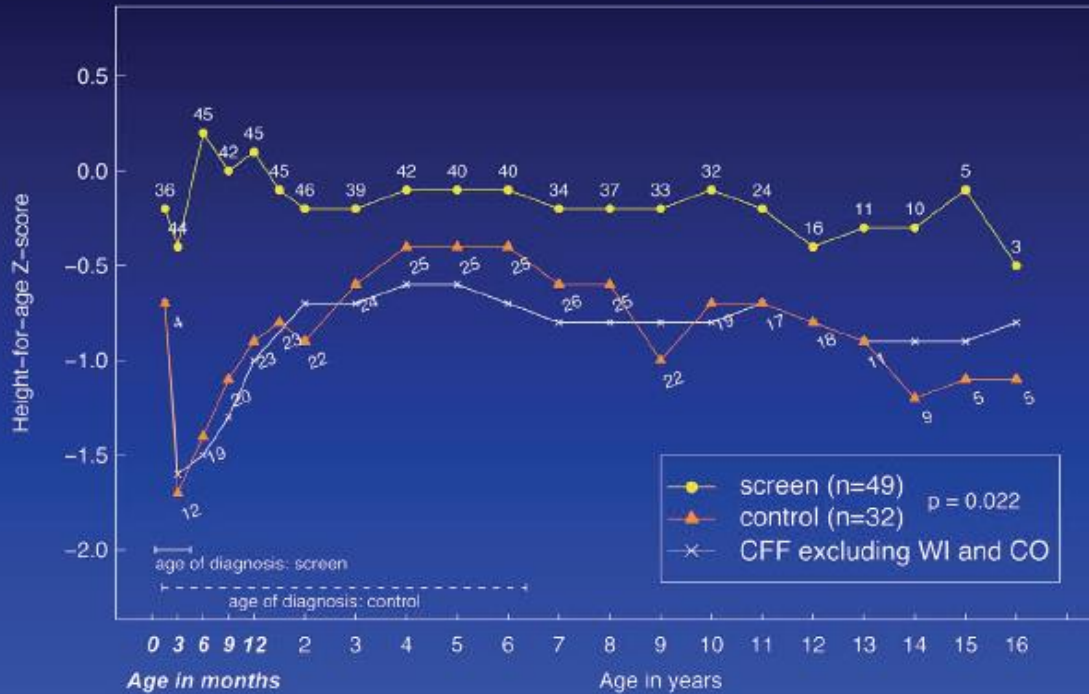
## RCT and Epidemiologic Data (US, Europe, Australia, others)

- Nutritional benefit of CF-NBS strongly verified: not only at diagnosis, but persistent advantage
- Cognitive benefit seen in Wisconsin study
- Variable strength of evidence for long-term pulmonary function benefit of CF-NBS but trend for positive effect
- Survival benefit emerging

Benefits of CF-NBS

# Wisconsin CF Neonatal Screening RCT = Growth of Screened and Traditionally Diagnosed Patients

(Farrell et al, J Pediatrics 2005;147:S30-S36)



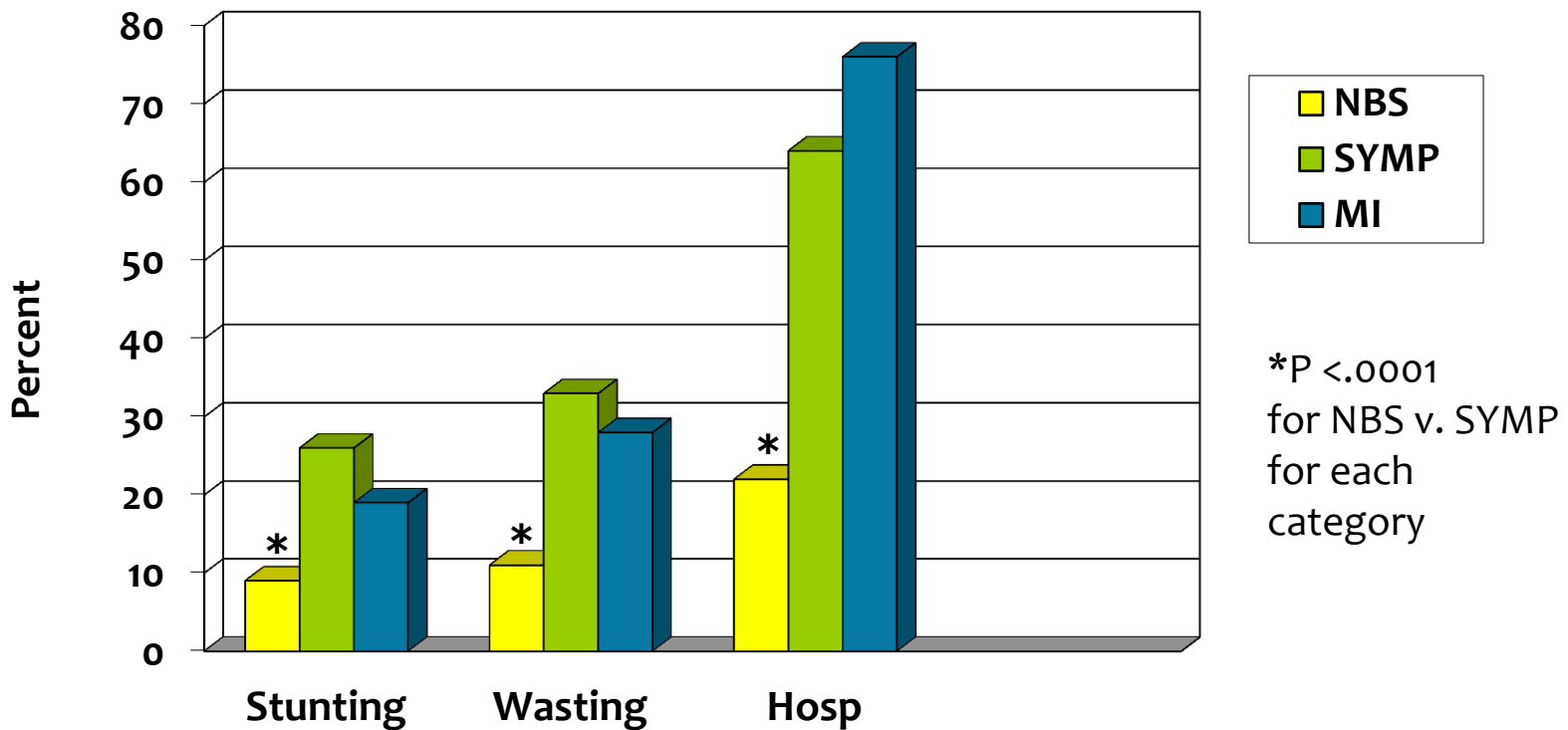
Patients with CF who were diagnosed by newborn screening have better linear growth than patients diagnosed after they developed clinical symptoms

(Pancreatic insufficient patients only; meconium ileus patients excluded)

## Linear Growth



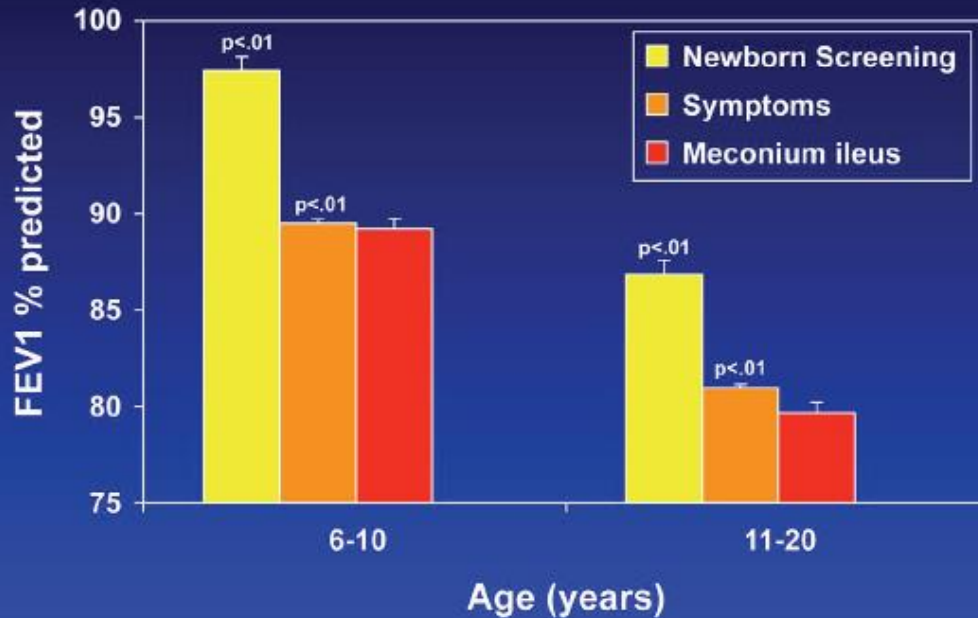
## Complication rates in the year of diagnosis for CF infants <12 months old (Diagnosis by NBS vs. symptoms vs. meconium ileus)



Data from CF Registry, presented in Accurso FJ, Sontag MK, Wagener JS: Complications associated with symptomatic diagnosis in infants with cystic fibrosis. *J. Pediatr.* 2005, 147: S37-41

# Complications

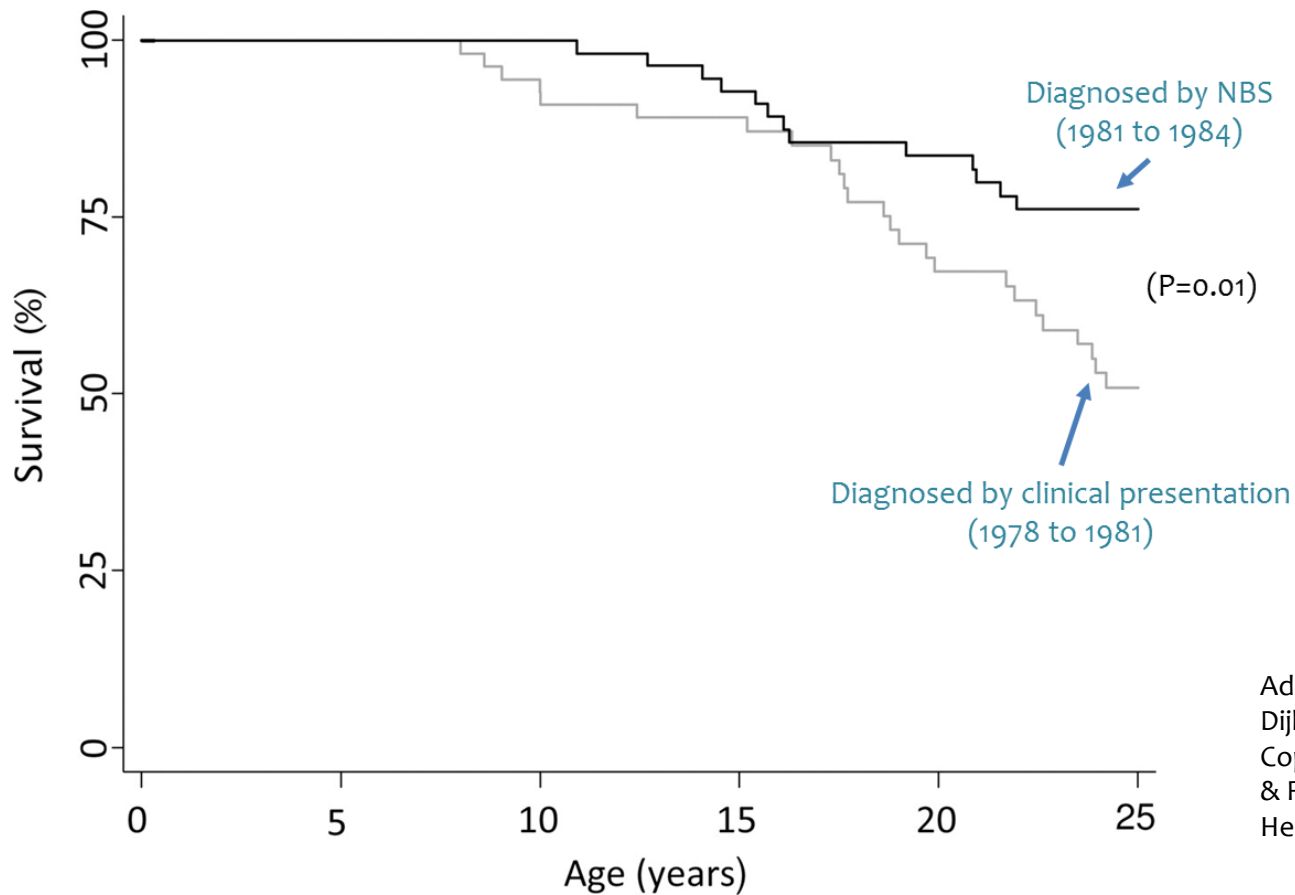
**Pulmonary Function in CF Patients by Diagnostic Category and Age  
Calculated from CFF registry data of 2002  
(Accurso et al, J Pediatr 2005;147:S37-S41)**



CF patients diagnosed by NBS have better pulmonary function in later childhood than those diagnosed after clinical symptoms or CF patients who had meconium ileus.

Pulmonary Function

## CF Diagnosis by NBS confers survival benefit (Data from New South Wales)



Adapted from:  
Dijk FN et al, Arch Dis Child 2011.  
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& Royal College of Paediatrics and Child  
Health. All rights reserved.

# Survival Benefit

## **IRT: immunoreactive trypsinogen in dried blood spots**

- Trypsinogen is an enzyme precursor made by pancreas
- Detectable in blood of normal and CF newborns
- IRT is elevated in CF newborns, even those with “pancreatic sufficiency” (approx. 10-15% of CF patients )
- Damaged pancreatic acinar cells “leak” this enzyme precursor into bloodstream
- Nonspecific elevation can occur with perinatal stress

## **DNA: CFTR mutations**

- Over 1,500 known mutations
- $\Delta F 508$  mutation = 69% of alleles
- Defined additional panel of 25-40 mutations can allow identification of 88-96% of CF alleles

Prior to December 2011, CF Newborn Screening in Virginia relied on IRT only, with repeat IRT on a new sample if the initial values were elevated.

Prior Screening

## **Low sensitivity**

- Sensitivity of 80% with standard IRT cutoffs

## **Need second sample after a “1<sup>st</sup> abnormal”**

- Up to 20% of infants lost to follow-up in states without a mandatory second sample
- Timing of second sample is important as IRT declines naturally with age

**IRT/IRT misses or delays diagnosis of CF in 50% of infants with CF**

Issues with IRT/IRT Protocol  
for Newborn Screening

- Use IRT in dried blood spots as a “first tier” newborn screening test
- Those samples with an abnormal IRT are then studied for common CFTR mutations
- Samples with an elevated IRT and one or two identified CFTR mutations are “screen positive”
- Those “screen positive” patients are referred for sweat chloride testing to confirm or rule out CF

A Solution

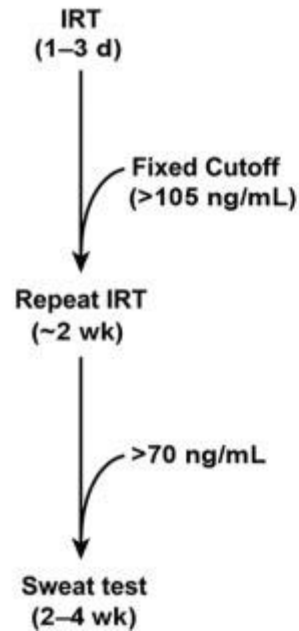
### Advantages

- No detection of carriers
- No need to have genetic counseling services

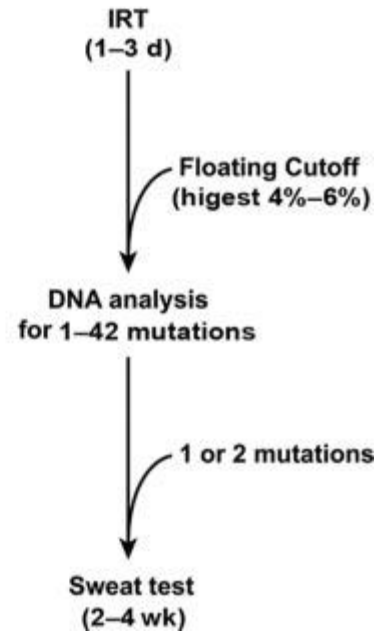
### Disadvantages

- Need for 2<sup>nd</sup> specimen
- Potential for incomplete f/u
- Burden on PCPs and NICUs, and NBS program
- Delay in collection of repeat sample blurs value of measurement due to naturally declining IRT

## IRT/IRT



## IRT/DNA



### Advantages

- All on one specimen
- Potentially faster turnaround, earlier dx
- Better sensitivity

### Disadvantages

- Need for genetic counseling services
- Higher cost

Adapted from Kloosterboer et al, 2009

# Comparison of Common CF-NBS Protocols



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## Screening Algorithm

**% Sensitivity**  
(excluding infants  
with meconium ileus)

IRT>105 ng/ml; Post-2 week IRT>70 ng/ml

80.2%

IRT>daily top 4%; DNA: DF508 only

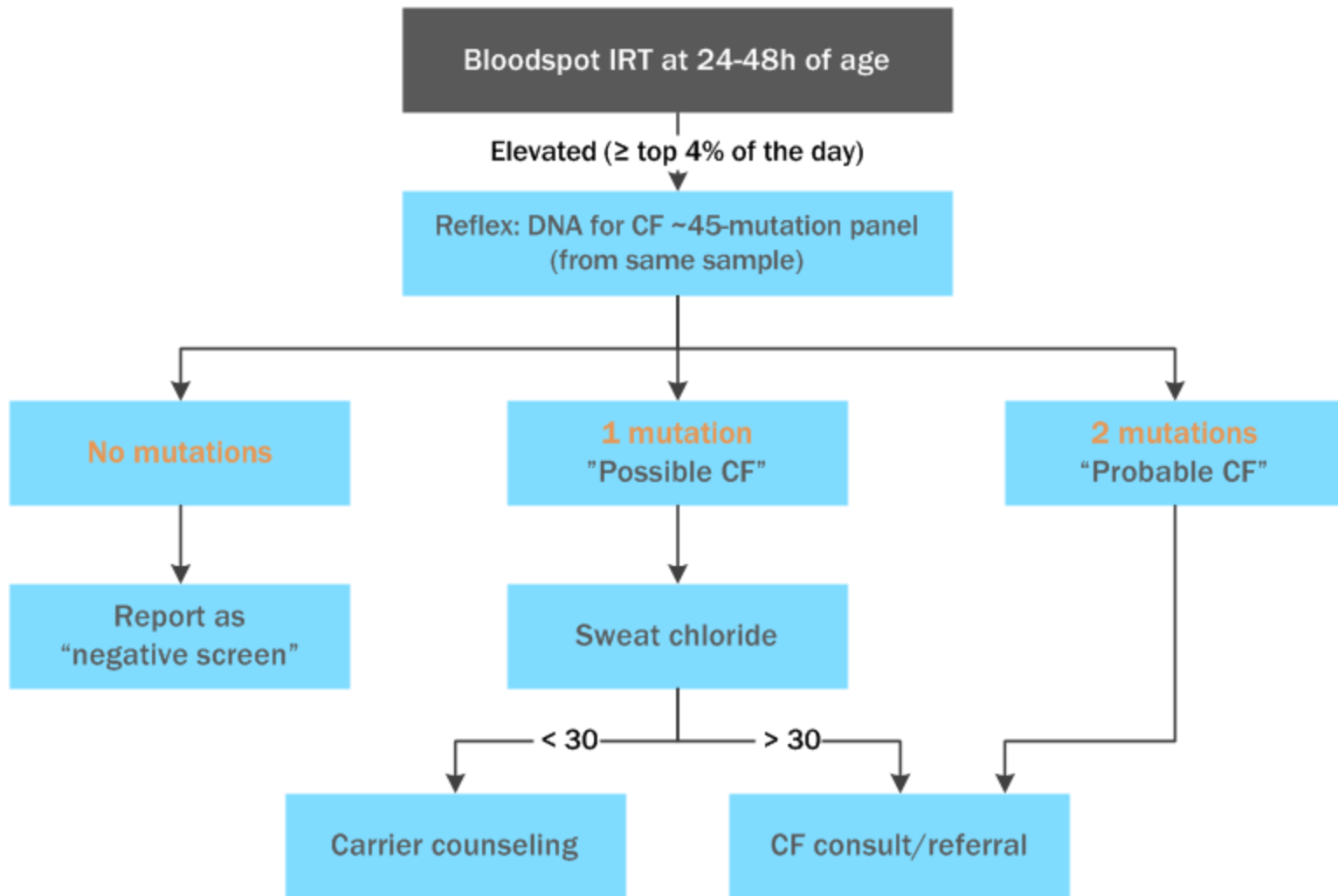
93.1%

IRT>daily top 4%; DNA: 25 mutation panel

96.2%

Adapted from Kloosterboer et al, 2009:  
Data from 660,443 Wisconsin newborns 1994-2004

Comparative Sensitivities  
of Various Algorithms



# IRT/DNA Newborn Screening Algorithm

- How many NBS samples will have “elevated” IRT?  
*By definition, about 4% (for cutoff at 96<sup>th</sup> percentile)*
- Of those samples with elevated IRT, how many will be normal on “reflex” DNA testing (i.e., No Mutations)? *About 94%*
- Of those patients with elevated IRT and ...
  - One** Mutation, how many will actually have CF?  
*About 3% ... thus the need for the sweat test*
  - Two** Mutations, how many will have CF?  
*Almost all*

## Frequently Asked Questions

about IRT/DNA Screening Algorithm

- Sample mix-up at birth hospital or state lab  
*wrong baby, wrong label, or misidentified sample*
- 2 different CF mutations are present,  
but are in *cis* (both mutations are in one CFTR gene), and are  
balanced by a normal CFTR gene on the other chromosome
- Presence of at least one identified CF mutation of  
potentially subclinical severity: R117H  
R117H is 4<sup>th</sup> most common CF-associated allele (1.3%)  
“PolyT variant” in Intron 8 affects pathogenicity (5T > 7T/9T)  
Variable clinical manifestations

How could a “2 mutation result”  
not represent CF?

Factors accounting for a missed/delayed diagnosis of CF after newborn screening:

**In the newborn nursery (or out-of-hospital birth)**

1. NBS specimen is *not obtained*
2. NBS specimen *quality is unacceptable*
3. NBS specimen *labeling error* in the neonatal nursery

Adapted from Rock MJ et al, 2011

Missed/Delayed Diagnosis:  
Nursery

# Factors accounting for a missed/delayed diagnosis of CF after newborn screening:

## In the centralized testing laboratory

4. NBS specimen mix-up in the laboratory
5. Initial immunoreactive trypsinogen (IRT) cutoff level is inappropriate
6. Infant's IRT level is below the cutoff (biologic false negative)
7. In IRT/IRT method, a second specimen is not obtained and there is no follow-up
8. In IRT/IRT method, the second IRT result is not above the cutoff value
9. In IRT/DNA method, uncommon mutation(s) is/are present and not identified
10. Lab errors (e.g., errors measuring IRT, or DNA mutation analysis)
11. Clerical/central error in recording and reporting the newborn screen result to the primary care provider

Adapted from Rock MJ et al, 2011

Missed/Delayed Diagnosis:  
*Laboratory*

# Factors accounting for a missed/delayed diagnosis of CF after newborn screening:

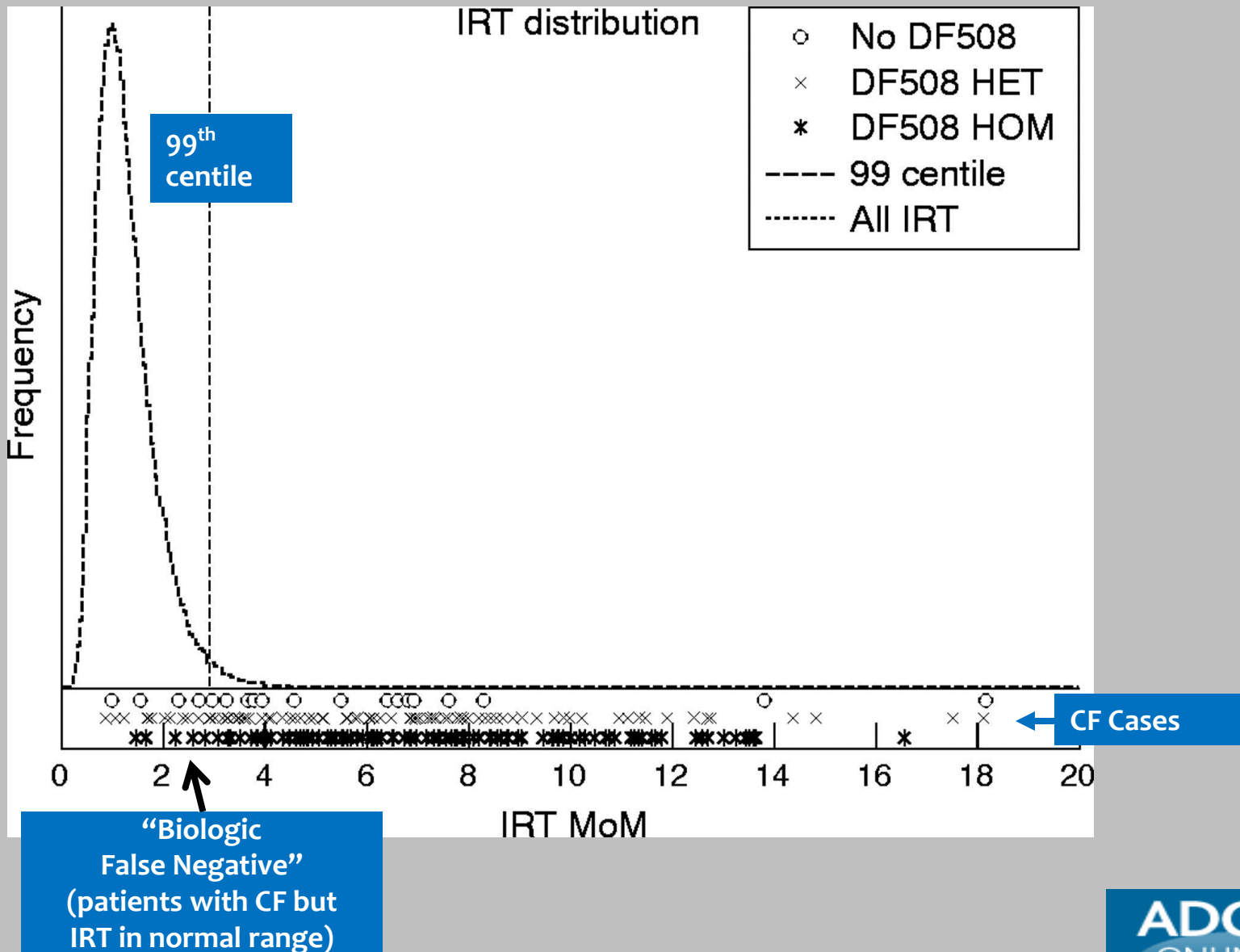
## Follow-up

12. *Miscommunication* of newborn screen result between primary care provider and family (e.g., sweat test not performed)
13. *Error in measurement* of sweat chloride
14. *Inappropriate cutoff value* of sweat chloride

Adapted from Rock MJ et al, 2011

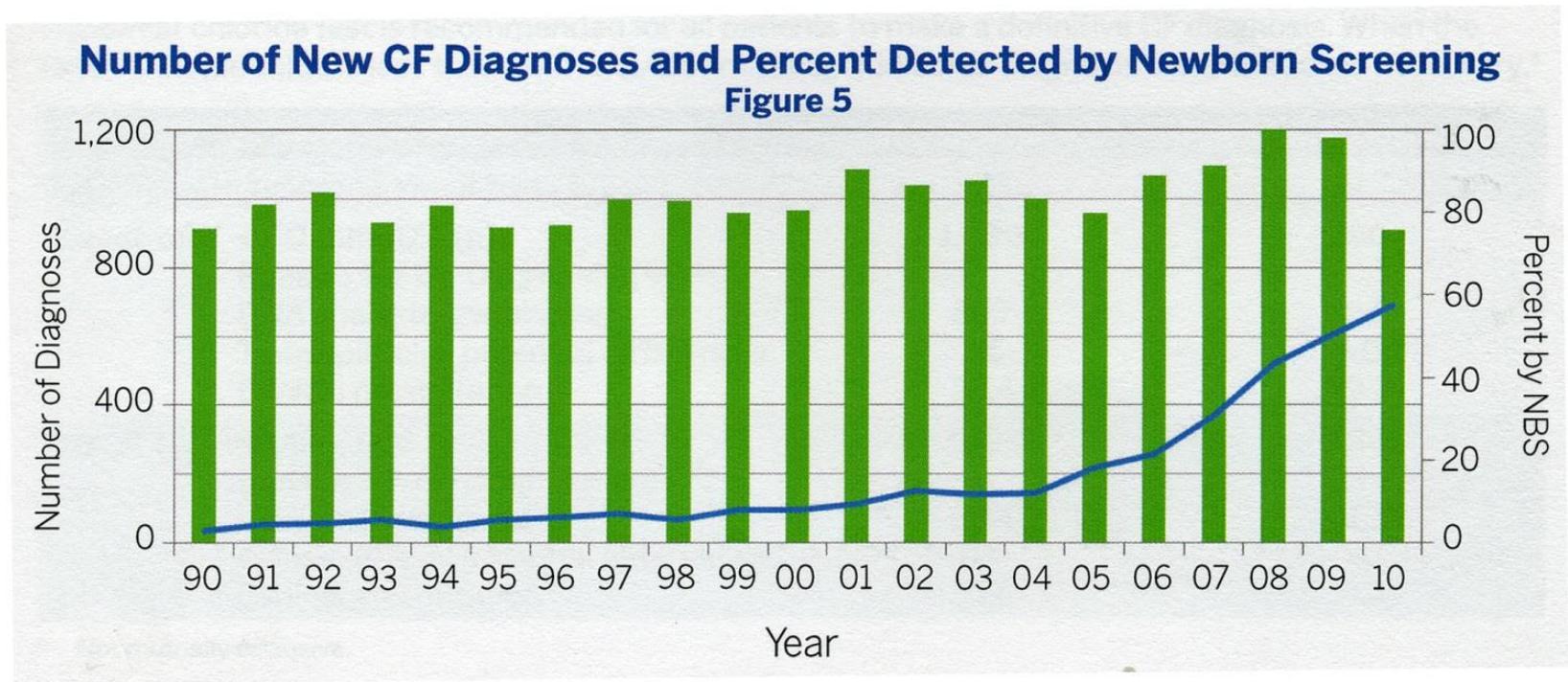
Missed/Delayed Diagnosis:  
Follow-up

# Distribution of IRT values: CF patients in relation to normal newborn population (MoM= multiple of the median for IRT value)





# Newborn Screening Is Now the Main Pathway to CF Diagnosis (2010 National CF Registry Data)



Pathway to Diagnosis

- Higher sensitivity due to lower initial IRT cut-off
- No need for second NBS sample  
*DNA testing done on the initial sample if the IRT is elevated*
- Shorter time to diagnosis and treatment  
2.3 weeks (IRT/DNA) to diagnosis vs. 4 weeks (IRT/IRT)  
5.9 weeks (IRT/DNA) to initial CF center visit vs. 7.7 weeks (IRT/IRT)
- Clarifies borderline sweat test results  
Up to 10% of infants with CF will have borderline sweat chloride results  
17% of those infants will have 2 mutations on more extensive mutation testing

## Benefits of IRT/DNA Screening

## 4 Possible Results

“Normal Screen”	IRT value in the top 4% but <170 ng/ml, and No Mutations identified on screening using the mutation panel <i>“Screen normal”; no further action required</i>
“Low Risk of CF”	IRT >170 ng/ml, but No Mutations identified <i>No sweat test required: not a failed screen</i> <i>Likely to include infants with severe neonatal problems</i> <i>***Discuss with CF consultant if no obvious reason for high IRT</i>
“Possible CF”	IRT top 4%, and One Mutation identified <i>Needs sweat test (accredited CF Center) to confirm CF diagnosis (vs carrier)</i> <i>Needs genetic counseling</i>
“Probable CF”	IRT top 4%, and Two Mutations identified <i>Contact CF center without delay</i> <i>CF center will arrange sweat test plus clinical visit within a few days</i>

Anatomy of a CF-NBS Report:  
Tier 2 (DNA)

NEWBORN SCREENING PROGRAM  
 VIRGINIA DEPARTMENT OF GENERAL SERVICES  
 DIVISION OF CONSOLIDATED LABORATORY SERVICES  
 600 North 5th Street, Richmond VA 23219  
 (804) 648-4480  
 Toll Free (866) 378-7730

# ANATOMY OF A VIRGINIA CF-NBS REPORT

02/24/2012

SEND TO: D-31332

Sample# Patient ID Device ID Medical ID  
 915525 200222539  
 Baby's Name  
 Receive Date: 01/31/2012 Collection Date: 01/30/2012  
 Birth Date: 01/28/2012 Transfusion Date: Not Available

← Sample identifiers

Mother's Name:

Folder #:

← Reminder that IRT was elevated and thus CF mutation screen was done

Dear Doctor

At the time of routine newborn screening, this baby was screened for genetic or metabolic disorders as required by the State of Virginia. The immunoreactive trypsinogen (IRT) screening test was above normal limits, and therefore a CF mutation screen (39 mutations plus 4 polymorphisms) was performed. The results of this screening indicate:

### POSSIBLE CYSTIC FIBROSIS

Tests Performed	Results	Value	Normal Range
Mutation-Cystic Fibrosis*	<b>1 Mutation</b>	dF508	No Mutations Detected

← Key results

**INTERPRETATION:** The presence of one Cystic Fibrosis (CF) mutation is indicative of a CF gene carrier state, which would not carry adverse health consequences. However, CF disease cannot be ruled out, due to the possibility of a second mutation that is not included in the mutation testing panel.

← Interpretation and recommendation

**RECOMMENDED ACTION:** Infant should be referred to a CF Center for diagnostic evaluation to determine whether the child is a carrier or has CF disease.

← CF Center contact info

Cynthia Epstein, MD	Children's Hospital of the King's Daughters	Norfolk	757-668-7137
John P. Osborn, MD	Pediatrics Lung Center	Fairfax	703-289-1410
Deborah K. Froh, MD	UVA Hospital	Charlottesville	434-924-2250
H. Joel Schmidt, MD	VCU Hospital	Richmond	804-828-2982

PLEASE NOTE: Newborn Screening tests are intended to provide an early opportunity to detect disorders before symptoms appear. These tests are not diagnostic, and require followup with a CF specialist.

Clinical information concerning these results is available through the Virginia Newborn Screening Services of the Virginia Department of Health at (804) 864-7729 or (804) 864-7715. Laboratory information can be obtained by calling the Newborn Screening Laboratory at (804) 648-4480 or Toll free at (866) 378-7730 at the Department of General Services, Division of Consolidated Laboratory Services.

← State NBS program contact information

Sincerely,

James L. Pearson DrPH, Bcld  
 Director

\*Mutations screened (ACMG/ACOG panel in bold; 4 variants in italics) for:

dF508, d1507, **G542X**, G85E, R117H, 621+1G>T, 711+1G>T, N1303K, R334W, R347P, A455E, 1717-1G>A, R560T, R553X, G551D, 1898+1G>A, 2184delA, 2789+5G>A, 3120+1G>A, R1162X, 3659delC, 3849+10kbC>T, W1282X, 1078delT, 394delTT, Y122X, R347H, V520F, A559T, S549N, S549R(T>G), 1898+5G>T, 2183AA>G, 2307insA, Y1092X-C>A, Y1092X-C>G, M1101K, S1255X(ex.19), S1255X(ex.20), 3876delA, 3905insT, 15717T>T 15065V.1507V.F508C

← List of mutations in test panel

# December 1, 2011 – March 9, 2012

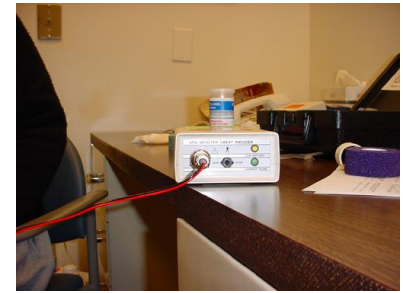
n=1,103 samples analyzed for CF mutations following IRT above cutoff

DNA Results	Virginia number/percent	Wisconsin percent*
0 Mutations	1,033 = 93.7%	93.9%
1 Mutation	66 = 6.0%	4.6%
2 Mutations	4 = 0.36%	0.35%

\*Wells J, Rosenberg M, Hoffman G, Anstead M, Farrell PM. A decision-tree approach to cost comparison of newborn screening strategies for cystic fibrosis. *Pediatr* 2012; 129: e339-e347.

Virginia:  
IRT/DNA Protocol Statistics

1. Stimulation of sweat production



2. Collection of sweat



3. Analysis of chloride concentration of sweat



# Sweat Testing

Interpretation	Age <6 months	Age > 6 months
Normal	< 30	< 40
Intermediate	30-59	40-59
Abnormal	≥ 60	≥ 60

Babies with a positive NBS and intermediate sweat test value should be followed at a CF Center.

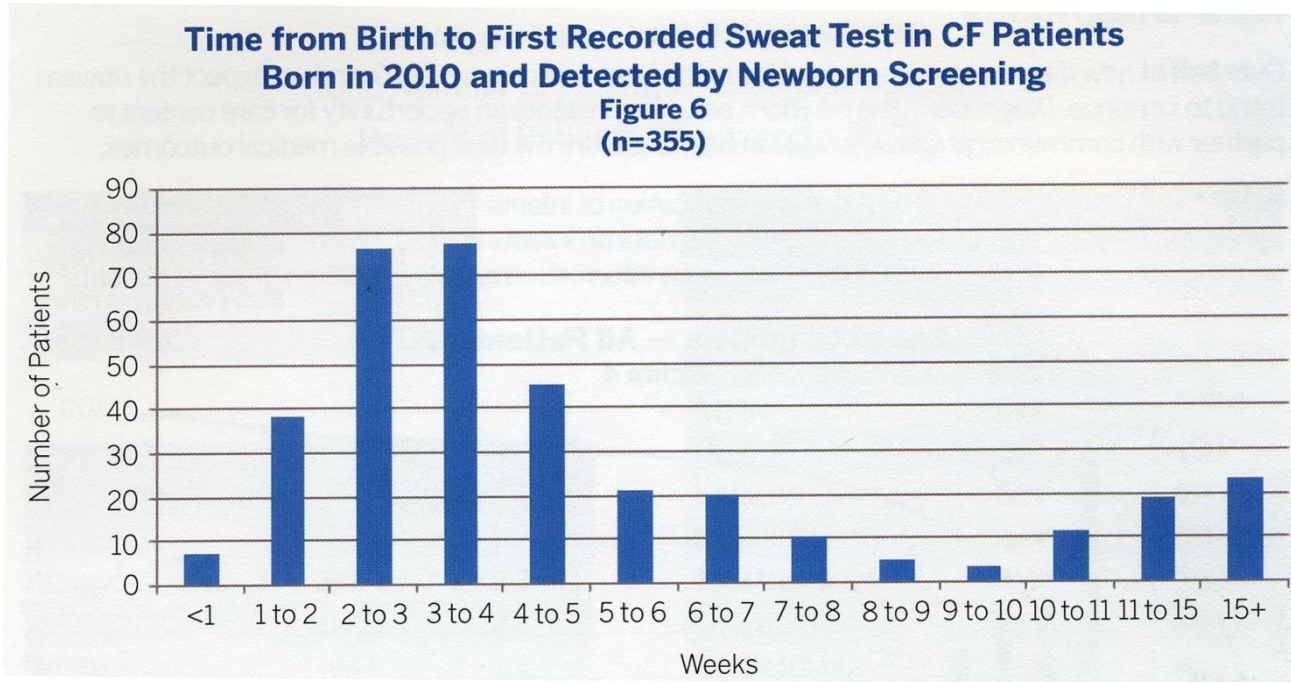
Tentative diagnosis for those infants with a positive NBS result and an intermediate sweat chloride result is “CRMS” or “CFTR-related metabolic syndrome”. With serial sweat testing and clinical follow-up, these infants may ultimately be reclassified as either “normal” or as having CF.

## Sweat Chloride Results for Infants

- If one or two CF mutations are found:
  - Explanation of “carrier” vs CF
  - Explanation of need for additional mutation testing (if indicated)
  - Genetic risk for CF within the family
  - Options regarding testing of family members
- Who should provide this information?  
Genetic counselor, CF provider, primary care physician
- Availability and accessibility may be problematic



# Improvement Needed Nationally



**An important goal of newborn screening is early diagnosis of CF and initiation of specialized CF care (pre-symptomatic, if possible)**

**Prompt Processing  
and Follow-up**

Cystic Fibrosis Foundation

[www.cff.org](http://www.cff.org)

National Human Genome Research Institute

[www.genome.gov/10001213](http://www.genome.gov/10001213)

The “Gene Reviews”

[www.ncbi.nlm.nih.gov/books/NBK1250/](http://www.ncbi.nlm.nih.gov/books/NBK1250/)

Virginia Newborn Screening Program website

[www.vahealth.org/VNSP](http://www.vahealth.org/VNSP)

Selected Websites

- IRT/DNA protocols offer better CF detection than IRT/IRT, **but newborn screening will still miss a small number of cases.** *Order sweat testing if there are symptoms concerning for CF.*
- Be sure to follow up NBS results promptly, even if they suggest that a carrier situation is most likely. Avoid delays in follow-up.
- Genetic counseling should be offered if **any** CF mutations are found.
- Sweat testing should preferably occur at a CF center.
- Call your state NBS program or CF center for advice when needed, and consult the [cff.org](http://cff.org) website for additional info or parent-oriented materials.

PEARLS of the Day

Cavanagh et al, 2010. Long-term Evaluation of Genetic Counseling Following False-Positive Newborn Screen for Cystic Fibrosis. *J Genet Couns*, 19: 199-210. [PubMed](#)

Collins et al, 2012. Factors that influence parents' experiences with results disclosure after newborn screening identifies genetic carrier status for cystic fibrosis or sickle cell hemoglobinopathy. *Patient Educ Couns*, 90: 378-385. [PubMed](#)

Comeau et al, 2007. Guidelines for Implementation of Cystic Fibrosis Newborn Screening Programs: Cystic Fibrosis Foundation Workshop Report. *Pediatrics*, 119: e495-518. [PubMed](#)

Kloosterboer et al, 2009. Clarification of Laboratory and Clinical Variables That Influence Cystic Fibrosis Newborn Screening with Initial Analysis of Immunoreactive Trypsinogen. *Pediatrics*, 123: e338-346. [PubMed](#)

Rock et al, 2005. Newborn screening for cystic fibrosis in Wisconsin: Nine-year experience with routine trypsinogen/DNA testing. *J Pediatr*, 147: S73-S77. [PubMed](#)

Sanders et al, 2011. Comparing age of cystic fibrosis diagnosis and treatment initiation after newborn screening with two common strategies. *Journal of Cystic Fibrosis*, 11: 150-153. [PubMed](#)

Tluczek et al, 2011. Factors Associated with Parental Perception of Child Vulnerability 12 Months After Abnormal Newborn Screening Results. *Research in Nursing & Health*, 34: 389-400. [PubMed](#)

Tluczek et al, 2011. Psychosocial Consequences of False-Positive Newborn Screens for Cystic Fibrosis. *Qual Health Res*, 21: 174-186. [PubMed](#)

Wagener et al, 2012. Newborn screening for cystic fibrosis. *Curr Opin Pediatr*, 24: 329-335. [PubMed](#)

Wells et al, 2012. A Decision-Tree Approach to Cost Comparison of Newborn Screening Strategies for Cystic Fibrosis. *Pediatrics*, 129: e339-347. [PubMed](#)

# References